

-----X	
TREVOR MURRAY,	:
	:
Plaintiff,	:
	:
-against-	:
	:
UBS SECURITIES, LLC and UBS AG,	:
	:
Defendants.	:
-----X	

Sarbanes-Oxley Act Elements

- If you answered “NO,” you should not answer the remaining questions.

- If you answered “NO,” you should not answer the remaining questions.

- If you answered “NO,” you should not answer the remaining questions.

4. **Did Defendant UBS prove by clear and convincing evidence that it would have terminated Plaintiff Murray's employment even if he had not engaged in "protected activity"?**

No _____ Yes _____

If you answered "YES," you should not answer the remaining questions.

Damages

5. **Did Plaintiff Murray prove by a preponderance of the evidence that he should be awarded back-pay damages from February 20, 2012 to the date of trial to compensate for a net loss of wages and benefits?**

No _____ Yes _____

If your answer is "YES," what amount has plaintiff proven he is entitled to?

\$ _____

(stating the amount).

6. **Did Defendant UBS prove by a preponderance of the evidence that Plaintiff Murray failed to mitigate his damages through the exercise of reasonable diligence in seeking, obtaining, and maintaining substantially equivalent employment?**

No _____ Yes _____

If your answer is "YES," what amount of the damages listed in response to Question No. 5 could plaintiff have avoided?

\$ _____

(stating the amount).

7. **Did Plaintiff Murray prove by a preponderance of the evidence that he should be awarded front-pay damages from the completion of this trial onwards to compensate for a net loss of wages and benefits as a direct result of UBS's conduct?**

No _____ Yes _____

If your answer is "YES," what amount has plaintiff proven he is entitled to?

\$ _____

(stating the amount).

8. Did Plaintiff Murray prove by a preponderance of the evidence that he suffered emotional distress or mental anguish as a direct result of UBS's conduct?

No _____ Yes _____

If your answer is "YES," what amount has plaintiff proven he is entitled to?
\$ _____
(stating the amount).

SO SAY WE ALL.

Foreperson

DATED: _____

Dated: October 6, 2017

GIBSON, DUNN & CRUTCHER LLP

By: /s/ Gabrielle Levin
Gabrielle Levin

200 Park Avenue, 48th Floor
New York, New York 10166
Telephone: 212.351.3901
Facsimile: 212.351.5301
glevin@gibsondunn.com

Attorney for Defendants